

Sterling Private Client Asset Management (Pty) Ltd
 Company Registration Number: 2013/083133/07
 Financial Service Provider Registration Number: 45324

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Licence Categories

Category Description	Advice	Intermediary
CATEGORY I (Advice and Intermediary Services)		
Long-Term Insurance subcategory A	X	X
Long-Term Insurance subcategory B1	X	X
Long-term insurance subcategory B2	X	X
Long-Term Insurance subcategory C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Shares	X	X
Money market instruments	X	X
Derivative instruments	X	X
Participatory interests in a collective investment scheme	X	X
Long-term Deposits	X	X
Short-term Deposits	X	X
CATEGORY II - Discretionary FSP (Intermediary Services)		
Long-term Insurance subcategory B1		X
Long-term Insurance subcategory C		X
Retail Pension Benefits		X
Pension Funds Benefits		X
Shares		X
Money market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Bonds		X
Derivative instruments		X
Participatory interests in one or more collective investment schemes		X
Long-term Deposits		X
Short-term Deposits		X